

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3335-075-55 CONT

First Inventor or Application Identifier JOHN C. REED

Title REGULATION OF BCL-2 GENE EXPRESSION

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification Total Pages **80**
3. Drawing(s) (35 U.S.C. 113) Total Sheets **16**
4. Oath or Declaration Total Pages
- a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 15 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
- Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

- 6. Assignment Papers (the prior application is assigned to University of Pennsylvania Reel No: 6835, Frame Nos: 295-297) (copy attached)
- 7. 37 C.F.R. §3.73(b) Statement (when there is an assignee) Power of Attorney
- 8. English Translation Document (if applicable)
- 9. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 10. Preliminary Amendment
- 11. White Advance Serial No. Postcard
- 12. Small Entity Statement(s) Statement filed in prior application. Status still proper and desired. (copy attached)
- 13. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 14. Other: Request For Priority

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- Continuation Divisional Continuation-in-part (CIP) of prior application no.: 09/080,285, allowed

Prior application information: Examiner: MCGARRY, S. Group Art Unit: 1635

16. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)

Subj
to
by

of application Serial No. 09/080,285 Filed on MAY 18, 1998, allowed

Which is a continuation of application Serial No. 08/465,485, filed on June 5, 1995, patented, which is a continuation of application Serial No. 08/124,256, filed September 20, 1993, abandoned, which is a continuation-in-part of application Serial No. 07/840,716, filed February 21, 1992, abandoned, which is a continuation-in-part of application Serial No. 07/288,692, filed December 22, 1988, abandoned.

This application claims priority of provisional application Serial No.

Filed

17. CORRESPONDENCE ADDRESS

LONG ALDRIDGE & NORMAN LLP
701 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
(202) 624-1200
FACSIMILE: (202) 624-1298

Name:	Sharon E. Crane, Ph.D.	Registration No.:	36,113
Signature:	<i>Sharon E. Crane</i>	Date:	<i>Aug 17, 1999</i>
Name:		Registration No.:	

Applicant or Patentee John C. ReedSerial or Patent No.: 08/124,256Filed or Issued: September 20, 1993For: REGULATION OF bcl-2 GENE EXPRESSION**COPY**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION University of PennsylvaniaADDRESS OF ORGANIZATION 3700 Market Street, Suite 200, Philadelphia, PA 19104

TYPE OF ORGANIZATION

- University or other institution of higher education
- Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- Nonprofit scientific or educational under statute of state
(Name of State _____)
(Citation of Statute _____)
- Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
if located in the United States of America
- Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America
(Name of State _____)
(Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled REGULATION OF bcl-2 GENE EXPRESSION

by inventor: JOHN C. REED

described in

- the specification filed herewith.
- application serial no. 08/124,256, filed 9/20/93.
- patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below: and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME _____

ADDRESS _____

[] Individual [] Small Business Concern [] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare _____ statements made herein of my own knowledge and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kathleen Denis, Ph.D.

TITLE OF PERSON OTHER THAN OWNER Director

ADDRESS OF PERSON SIGNING 3700 Market Street, Suite 300, Philadelphia, PA 19104

SIGNATURE Kathleen Denis DATE 28 October 1993

J.S.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JOHN C. REED

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

JC 518 U.S. PTO
 09/375514

 08/17/99

FOR: REGULATION OF BCL-2 GENE EXPRESSION

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- Full benefit of the filing date of U.S. Application Serial Number 09/080, 285, filed May 18, 1998, which is a continuation of application Serial No. 08/465,485, filed on June 5, 1995, patented, which is a continuation of application Serial No. 08/124,256, filed September 20, 1993, abandoned, which is a continuation-in-part of application Serial No. 07/840,716, filed February 21, 1992, abandoned, which is a continuation-in-part of application Serial No. 07/288,692, filed December 22, 1988, abandoned, is claimed pursuant to the provisions of 35 U.S.C. §120.
- Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
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Certified copies of the corresponding Convention Application(s)

- are submitted herewith
- will be submitted prior to payment of the Final Fee
- were filed in prior application Serial No. filed
- were submitted to the International Bureau in PCT Application Number . Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
- are submitted herewith
- will be submitted prior to payment of the Final Fee
- (B) Application Serial No.(s)

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP


Sharon E. Crane
Registration No. 36,113

Sixth Floor
701 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
Tel. (202) 624-1200
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Docket No. 3335-075-55 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) JOHN C. REED

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: REGULATION OF BCL-2 GENE EXPRESSION

FEES TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	21 - 20 =	1	x \$18 =	\$18.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$78 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$760.00
			TOTAL OF ABOVE CALCULATIONS	\$778.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$389.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$389.00

- Please charge Deposit Account No. 50-0911 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of \$389.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP

Date: Aug 17, 1999

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